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|  JCS65 U.S. PTO<br>12/14/01 | <b>UTILITY PATENT APPLICATION TRANSMITTAL</b><br>(Only for new nonprovisional applications under 37 CFR 1.53(b)) |  | Attorney Docket No. <b>011622</b> | Total Pages <b>A</b> |
|  | First Named Inventor or Application Identifier   |  |                                   |                      |
|  | <b>Minoru SUZUKI and Susumu YOSHIDA</b>  |  |                                   |                      |
|  | Express Mail Label No.   |  |                                   |                      |

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|---|--|
| APPLICATION ELEMENTS FOR:<br><b>SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE</b> | ADDRESS TO:<br>Commissioner for Patents<br>BOX PATENT APPLICATIONS<br>Washington, D.C. 20231 |
|---|--|

|  |                   |
|--|-------------------|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (Incorporated within this form)<br>(Submit an original and a duplicate for fee processing)   |                   |
| 2. <input checked="" type="checkbox"/> Specification   | Total Pages [32 ] |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113)   | Total Sheets [8 ] |
| 4. <input checked="" type="checkbox"/> Oath or Declaration   | Total Pages [5 ]  |
| <input checked="" type="checkbox"/> Newly executed (original)  |                   |
| b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d))<br>(for continuation/divisional with Box 17 completed).   |                   |
| i. <input type="checkbox"/> <u>Deletion of Inventor(s)</u><br>Signed statement attached deleting inventor(s) named in prior application,<br>see 37 CFR 1.63(d)(2) and 1.33(b).   |                   |
| 5. <input type="checkbox"/> Incorporation by reference (useable if box 4b is checked)<br>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |                   |
| 6. <input type="checkbox"/> Microfiche Computer Program (Appendix)   |                   |
| 7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  |                   |
| a. <input type="checkbox"/> Computer Readable Copy<br>b. <input type="checkbox"/> Paper Copy (identical to computer copy)<br>c. <input type="checkbox"/> Statement Verifying identity of above copies  |                   |
| <b><u>ACCOMPANYING APPLICATION PARTS</u></b>   |                   |
| 8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet and document(s)) to: <b><u>Shindengen Electric Manufacturing Co., Ltd., Tokyo, Japan</u></b><br>Please publish the assignee data with the application.   |                   |
| 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)   |                   |
| <input checked="" type="checkbox"/> Power of Attorney  |                   |

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No. **011622**

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10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☒ Copies of IDS Citations(PTO-1449 & 3 Ref.)
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority ☐ [1] Certified copy of Priority Document is enclosed.
- a. Priority of Japanese Application No. 2000-382184 filed on December 15, 2000 is claimed under 35 USC 119.
16. ☐ Other \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_/\_\_\_\_

**FEE TRANSMITTAL**

The filing fee is calculated below

Number Filed

Number Extra

Rate

Basic  
Fee  
\$740.00

Total Claims

11 - 20

x \$18.00

Independent Claims

2 - 3

x \$84.00

Multiple Dependent Claims

\$280.00

Basic Filing Fee \$740.00

Reduction by 1/2 for small entity

Fee for recording enclosed Assignment

\$40.00

\$40.00

**TOTAL**

**\$780.00**

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**PAGE 3 OF 3**

☒ [XX] A check in the amount of \$ 780.00 is enclosed to cover the filing fee of \$ 740.00 and the assignment recordation fee of \$ 40.00.

☐ [ ] Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

☒ [XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

**18. CORRESPONDENCE ADDRESS:**



**23850**

PATENT TRADEMARK OFFICE

**SUBMITTED BY**

Typed or Printed Name **Mel R. Quintos**

Reg. No. **31,898**

Signature

Date: **December 14, 2001**